

## *Continuing Education for Responsible Managers Subscription for 2010*

As a [Responsible Manager](#), ASIC requires that you:

*“Maintain and update knowledge and skills of responsible managers”*

To assist Responsible Officers/Managers to meet this requirement, Financial Education Professionals delivers the course *Continuing Education for Responsible Managers*. It focuses on relevant regulatory issues.

The course includes coverage of the following issues for Responsible Managers:

- » Review of your responsibilities on behalf of your licence holder.
- » Positioning compliance in your financial services business.
- » Examining your organisational capacity requirements.
- » Implementing the principles of effectively managing conflicts of interest.
- » ASIC’s priorities in regulating the “financial economy”.
- » Issues that are likely to receive greater regulatory attention by the financial regulator, ASIC in 2010<sup>1</sup>. See where ASIC intends to focus its efforts.

### **Benefits of *Continuing Education for Responsible Managers***

- ✓ Designed for Responsible Managers.
- ✓ Course available self-study or face-to-face for a small group of your Responsible Managers at a time that suits them.
- ✓ Obtain 4 hours/points of continuing training, once you complete the open-book assessment comprising twenty-five [25] questions (80% to pass).

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<sup>1</sup> Based on various public speeches by senior ASIC Executives, and related regulatory commentary.

## 2010 Subscription

### *Self-study*

Fee: \$400 + GST per person

To enrol: Complete the attached subscription form and send to us.

### *Face-to-face version of the course:*

Clients wishing to organise an in-house workshop can be accommodated, but should contact us directly to discuss their specific needs.

**To enrol, complete the registration form at the end of this brochure.**

## Further information

Contact Joan Street on 02 9252 7437 or by email [jstreet@financialeducation.com.au](mailto:jstreet@financialeducation.com.au)

Financial Education Professionals Pty Ltd was established in 2001.

We focus on:

- » tailored course design and delivery in investment banking, investment management and financial markets
- » training for treasury operations and investment administration roles, and
- » initial training and continuing professional education for wholesale market participants to meet Australian Securities and Investments Commission's (ASIC's) licensing compliance requirements.

Financial Education Professionals is an ASIC Authorised Assessor for the purposes of RG 146 and RG 105 and is a Registered Training Organisation, accredited to offer programs and qualifications throughout Australia.

## 2010 Responsible Managers Continuing Training: Subscription Form

(Please print)

Applicant information				
Applicant's last name:	First name:	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms	Email:	
Company name:	Business phone no.:	Mobile:		
( )				
Preferred address:		City:	State:	Postcode:
Enrolment				
<input type="checkbox"/> I wish to enrol in "Continuing Education for Responsible Managers 2010"				
Fee				
\$400 + GST = \$440.00				
Payment				
<input type="checkbox"/> I attach a cheque payable to Financial Education Professionals Pty Ltd; or <input type="checkbox"/> Please invoice my company. If requesting us to invoice your company, please complete the information below.				
Approving Manager				
First Name:		Last Name:		
Email:				
Title/Position:		Department/Division:		
Approving Manager's signature:				

### Please send completed form to:

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