

Risk Management Continuing Education for Responsible Manager & Advisers

The global financial crisis has intensified demand for training in risk management. Poor or inadequate risk management in financial institutions has been cited as one of the major contributors to the current financial quagmire.

Effective risk management depends on a strong and established risk culture - i.e. genuine awareness and control of risk throughout the organisation, and line-of-sight accountability.

This Risk Management course comprises a total of five topics - a capstone topic "Financial Risk Management", which provides a high-level overview of financial risk management; followed by four more detailed topics from each of the key risk classifications that provide greater depth and understanding of the key financial risks classifications, as listed under "Course topics" below.

Course delivery and assessment

On receipt of the self-study course material and open-book assessment, participants

- » complete the open-book assessment as they study.
- » submit the assessment to Financial Education Professionals. Require 80% to pass.
- » receive a Certificate confirming successful completion and confirming 20 CPE hours/points.

CPE hours/points

20 hours/points

Fee

\$495 + GST

How to enrol

Complete the attached Registration Form and return to Financial Education Professionals.

Enquiries

Financial Education Professionals Pty Ltd - Level 8, 20 Loftus Street - Sydney NSW 2000

Tel: +61 2 9252 7437

Email: enquiries@financialeducation.com.au

Website: www.financialeducation.com.au

Course topics

This self-study course comprises five topics:

Topic 1: Financial Risk Management

- » Introduction to financial risk management
- » Risk management process
- » Measuring and assessing risk
- » Managing risk
- » Controlling and monitoring risk

Topic 2: Operational Risk

- » Introduction to operational risk
- » The regulatory imperative
- » Operational risk in financial markets transactions
- » Operational risk - identification and measurement
- » An operational risk management framework

Topic 3: Market Risk

- » Introduction to market risk
- » Trading limits
- » Managing linear risk
- » Managing option risk
- » Value at risk and stress testing

Topic 4: Credit Risk

- » Introduction to credit risk
- » Basic credit analysis
- » Credit ratings
- » Credit derivatives
- » Credit measurement

Topic 5: Liquidity Risk

- » Introducing liquidity risk
- » Sources of liquidity risk
- » Liquidity management strategies
- » Monitoring and controlling liquidity risk
- » Liquidity risk case studies

Alignment with ASIC RG146 competencies

Topics in the Risk Management course are aligned with ASIC RG146 competencies as shown below:

	Generic Knowledge	Securities	Derivatives	Managed Investments	Deposit Products	Foreign Exchange
Financial Risk Management	✓	✓	✓		✓	✓
Operational Risk	✓	✓	✓		✓	✓
Market Risk	✓	✓	✓	✓		✓
Credit Risk	✓	✓	✓	✓		✓
Liquidity Risk	✓	✓	✓	✓		✓

Financial Education Professionals Pty Ltd was established in 2001.

We focus on:

- » tailored course design and delivery in investment banking, investment management and financial markets
- » training for custody and treasury operations and investment administration roles and
- » initial training and continuing professional education for wholesale market participants to meet Australian Securities and Investments Commission's (ASIC's) licensing compliance requirements

Financial Education Professionals is an ASIC Authorised Assessor for the purposes of RG 146 and RG 105 and is a Registered Training Organisation, accredited to offer programs and qualifications throughout Australia.

Risk Management: Registration Form

(Please print)

Applicant information				
Applicant's last name:	First name:	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms	Email:	
Company name:	Business phone no.: ()	Mobile:		
Preferred address:		City:	State:	Postcode:
Enrolment & Fee				
<input type="checkbox"/> I wish to enrol in Risk Management \$495 + GST = \$544.50				
Payment				
<input type="checkbox"/> I attach a cheque payable to Financial Education Professionals Pty Ltd <i>or</i> <input type="checkbox"/> Please invoice my company. I have obtained approval from my manager (see below).				
Approving Manager				
First name: Last name: Email: Title/Position: Dept/Division: Approving Manager's Signature:				

Please send completed form to:

Financial Education Professionals Pty Ltd - Level 8, 20 Loftus Street - Sydney NSW 2000

Tel: +61 2 9252 7437

Email: enquiries@financialeducation.com.au

Website: www.financialeducation.com.au