

RG146 Regulatory Update

Continuing Professional Development

Licensees are required to ensure that their Advisers (RG146) satisfy continuing education requirements. Financial Education Professionals provides a simple and cost effective continuing professional development (CPD) service for advisers.

RG146F requires that *“licensees must implement policies and procedures to ensure that they and their advisers undertake continuing training to maintain and update the knowledge and skills that are appropriate for their activities.”*

To help those who provide advice meet ASIC’s CPD requirement, Financial Education Professionals has developed an annual Regulatory Update. As it concerns regulation and affects all those who provide advice (general or personal), the points/ hours gained by completing this subject will apply to their CPD obligations under RG146.

The subject is delivered electronically and contains a short, open book, multiple-choice assessment [80% to pass]. Upon successful completion, a certificate is issued indicating **10 CPD Points/ Hours**. Also, we will automatically contact you in a year for your annual CPD update.

To register, please complete the form at the back of this brochure and return it to us with proof of payment. The fee includes course materials, assessment and final certification.

Financial Education Professionals Pty Ltd was established in 2001.

We focus on:

- ▶ tailored course design and delivery in investment banking, investment management and financial markets
- ▶ training for custody and treasury operations and investment administration roles and
- ▶ initial training and continuing professional education for wholesale market participants to meet Australian Securities and Investments Commission’s (ASIC’s) licensing compliance requirements

Financial Education Professionals is an ASIC Authorised Assessor for the purposes of RG 146 and RG 105 and is a Registered Training Organisation, accredited to offer programs and qualifications throughout Australia.

RG146 Regulatory Update: Enrolment Form [All information is mandatory]

(Please print)

Applicant information				
Applicant's last name:	First name:	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms	Email:	
Company name:	Business phone no.:	Mobile:		
()				
Preferred address:		City:	State:	Postcode:
Fees (excl GST)				
<input type="checkbox"/> I wish to enrol in the Regulatory Update CPD course		\$435 + GST = \$478.50		
Payment Options (please tick)				
<input type="checkbox"/> INDIVIDUALS: I am paying by Direct Credit to your bank account (please attach remittance advice)				
or				
<input type="checkbox"/> COMPANIES: If requesting us to invoice your company, please complete the information below.				
Approving Manager				
First Name:		Last Name:		
Email:				
Title/Position:		Department/Division:		
Approving Manager's signature:				
Our Bank Details:				
Macquarie Bank BSB 182-222 Account 1204 48402, indicating Full name or Invoice Number				

Please send (mail/ email) completed form to:

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