

The Custody Program

The industry standard in custody training

Financial Education Professionals offers The Custody Program, the industry standard in custody training. This program, endorsed by the Australian Custodial Services Association Limited (ACSA) is designed to address the training and education needs of those working in custodial services and developing their careers within the industry.

Financial Education Professionals Pty Ltd

Financial Education Professionals is a specialist financial education and training company working in Custody, Investment Administration, Treasury, Investment Management, Corporate Finance, Banking and Compliance Education.

Australian Custodial Services Association Limited

The Australian Custodial Services Association Limited is a company limited by guarantee and was set up to represent the interests of the custodial services industry in Australia. ACSA currently represents members holding securities totaling more than 1.3 trillion Australian dollars in custody and under administration. The custody industry employs in excess of 3,000 people.

ACSA's mission is to provide industry representation for member institutions, ensuring that professional and ethical relationships are maintained with all interested parties, particularly custody users, regulatory and legislative bodies, service providers and other industry associates.

Benefits

- ✓ The Custody Program provides an accredited career path for staff working in the custody industry.
- ✓ A commitment to staff training provides a more meaningful work environment and improves recruitment and retention of good staff.
- ✓ Professional and competent staff play an important role in increasing customer satisfaction as well as reducing errors and managing risk.
- ✓ Best practice means that a well-qualified workforce is a worthwhile investment.
- ✓ Staff and employers can choose their exit points to achieve either a Certificate or a Diploma.
- ✓ At Diploma level, staff and employers can take their knowledge to the next level with more detailed understanding of custodians and the industry.

The Custody Program comprises four compulsory subjects. Successful completion results in a Diploma of Financial Markets (FNS 51011).

Introduction to Financial Markets

Introduction to Custody

Core Custody Operations

Specialist Custody Operation

Students who wish to cease studies after the first two subjects can exit the program with a Certificate IV in Financial Markets Operations.

Features

- ✓ The program is designed, delivered (in house workshops) and assessed by experienced industry practitioners.
- ✓ The program is available on a flexible self-study format which means that students are not bound by arbitrary semesters and terms.
- ✓ Students have the freedom to enrol in one subject at a time
- ✓ The program is available nationally.
- ✓ Assessments are conducted progressively with each subject.
- ✓ Participants completing the first two subjects can either receive their Certificate IV or continue to the Diploma.
- ✓ The program is suitable for participants in custodial services and those servicing the industry including marketing, IT, call centres, legal and human resources professionals.
- ✓ Participants receive comprehensive course materials; case studies and exercises; and practice exam questions.
- ✓ The program content and structure reflects the full value chain within custodial services.

Delivery

The program is undertaken as self-study, which means that all subjects are available all year. However, we can arrange for in-house delivery of subjects as required.

Assessment

There are two types of assessment:

- Formal closed-book examinations: Each subject has one closed-book examination. Participants must achieve 70% for successful completion. Sample examination questions are provided to allow participants to simulate exam conditions and prepare for their knowledge assessments.
- Research Assignment/Workplace assessment: Introduction to Custody contains an additional research assignment/workplace (portfolio) assessment, which will assess skills relevant to the course.

Detailed information about assessment is provided at the commencement of each subject. Experienced students may wish to accelerate their studies through Recognition of Prior Learning [by application only, Please contact our office to discuss].

Fees per subject (excl. GST, valid to 30 June 2012)

<input type="checkbox"/> Subject price	Per person & per subject	\$1,095
<input type="checkbox"/> Recognition of Prior Learning	Per person	\$850

If clients want to arrange for and RPL assessment or in-house workshop delivery, please contact us.

For further information

Financial Education Professionals

Address: Level 8, 20 Loftus Street - Sydney NSW 2000

Tel: +61 2 9252 7437

Email: enquiries@financialeducation.com.au

Website: www.financialeducation.com.au

or: www.custodial.org.au

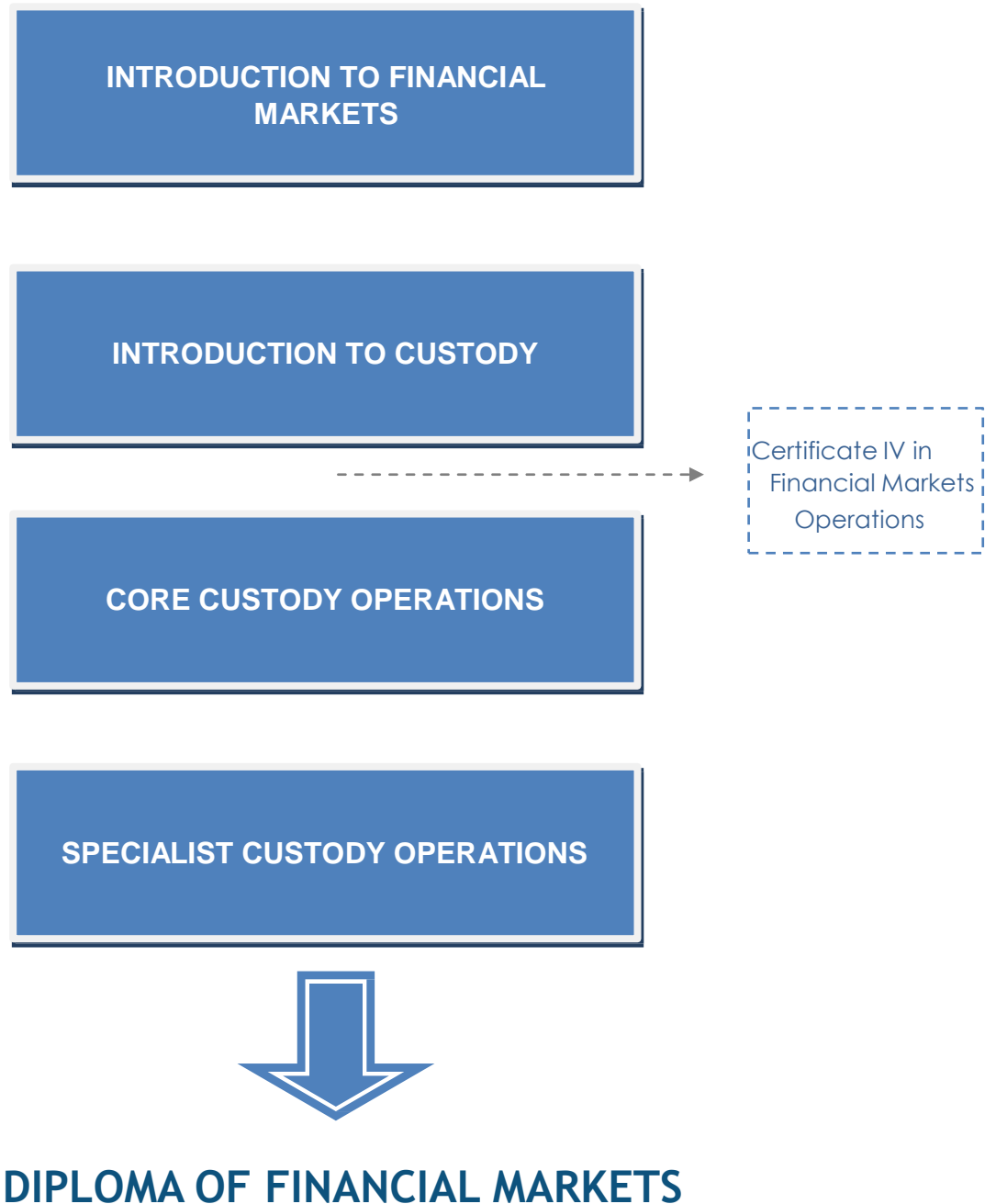
Financial Education Professionals Pty Ltd was established in 2001.

We focus on:

- ▶ tailored course design and delivery in investment banking, investment management and financial markets
- ▶ training for custody and treasury operations and investment administration roles and
- ▶ initial training and continuing professional education for wholesale market participants to meet Australian Securities and Investments Commission's (ASIC's) licensing compliance requirements

Financial Education Professionals is an ASIC Authorised Assessor for the purposes of RG 146 and RG 105 and is a Registered Training Organisation, accredited to offer programs and qualifications throughout Australia.

Appendix 1: The Custody Program



The Custody Program: Diploma of Financial Markets - Enrolment Form

[all information is mandatory]

Participant information [Please print]

Participant's last name:	First name:	<input type="checkbox"/> Mr.	<input type="checkbox"/> Miss	Date of birth [dd/mm/yyyy]:
		<input type="checkbox"/> Mrs.	<input type="checkbox"/> Ms.	
Email:				
Company name:		Business phone no.:		Mobile:
		()		
Company address:			City:	State:
				Postcode:
Job Title:			Department/Division:	

Subject Selection & Fees (valid to 30 June 2012)

FNS51011
Diploma of Financial Services
 Complete all subjects

<input type="checkbox"/> Introduction to Financial Markets	= \$1,095 + GST = \$1,204.50
<input type="checkbox"/> Introduction to Custody	= \$1,095 + GST = \$1,204.50
<input type="checkbox"/> Core Custody Operations	= \$1,095 + GST = \$1,204.50
<input type="checkbox"/> Specialist Custody Operations	= \$1,095 + GST = \$1,204.50

You have one year from enrolment to complete each subject.

Payment [Please choose one]

I have paid by Direct Credit to Macquarie Bank BSB 182-222 Account 1204 48402, indicating my full name as reference
 And/ or

Please invoice my company. I have obtained approval from my manager (see below)

Please note that course materials will be dispatched upon receipt of full payment

Approving Manager

First name: Last name:

Email:

Title/Position: Dept/Division:

Approving Manager's Signature:

Please send completed form with payment to:

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